

**SANT GAHIRA GURU VISHWAVIDYALAYA, SARGUJA, AMBIKAPUR (CG)**

**ORDINANCE NO. 42**

**DOCTOR OF PHILOSOPHY**

**[As per University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022]**

**1. Preamble:**

(1) The degree of Doctor of Philosophy (Ph.D.) may be granted in any discipline, belonging to any faculty of the SantGahira Guru Vishwavidyalaya, Sarguja (hereafter: Vishwavidyalaya), in which post-graduate studies and/or research is available at the Vishwavidyalaya or at its affiliated Colleges that are recognized as research centers by the Vishwavidyalaya.

(2) All academic matters related to Ph.D. degree shall be processed by a Departmental Research Committee (hereafter: DRC) consisting of the following:

- i. Head of the Department (Chair), for UTD or Principal (Chair), for affiliated Colleges of the Vishwavidyalaya
- ii. All teachers of the Department/ Department of the affiliated Colleges of the Vishwavidyalaya who are recognized by the Vishwavidyalaya as Ph.D. Supervisors
- iii. There should be at least three members, including the Chairman of the DRC.
- iv. The Kulapati shall nominate members from other/related departments in case the number of recognized Ph.D. guides is less than two in any Department/ approved research centers of the affiliated Colleges of the Vishwavidyalaya.

**2. Definitions.-**

(1) In these Regulations, unless the context otherwise requires,-

- a) "Act" means the University Grants Commission Act,1956 (3 of 1956);
- b) "Adjunct Faculty" means a part-time or contingent instructor, but not full-time faculty member hired to teach by SantGahira Guru Vishwavidyalaya, Sarguja;
- c) "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
- d) "Credit" means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
- e) "College" means an institution engaged in higher education and/or research, either established by SantGahira Guru Vishwavidyalaya, Sarguja as its constituent unit or is affiliated with it;
- f) "Commission" means the University Grants Commission established under Section 4 of the UGC Act1956;
- g) "Course" means one of the specified units which go to comprise a programmeofstudy;

- h) "Course Work" means courses of study prescribed by the School/Department/ Centre to be undertaken by a student registered for the Ph.D. Degree;
- i) "Degree" means a degree awarded by a Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
- j) "External examiner" means an academician/researcher with published research work who is not part of the SantGahira Guru Vishwavidyalaya, Sarguja or its constituent college/s;
- k) "Foreign Educational Institution" means—(i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
- l) "Grade Point" means a numerical weight allotted to each letter grade on a 10-point scale;
- m) "Guide/Research Supervisor" means an academician/researcher recognized by SantGahira Guru Vishwavidyalaya, Sarguja to supervise the Ph.D. scholar for his/her research;
- n) "Higher Educational Institution" means a university or institution specified under clause 2 of Regulation 1 of these Regulations; here it means SantGahira Guru Vishwavidyalaya, Sarguja;
- o) "Interdisciplinary Research" means research conducted by a Ph.D. scholar in two or more academic disciplines;
- p) "Open and Distance Learning Mode" shall have the same meaning as defined under the UGC(Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- q) "Online Mode" shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- r) "Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own;
- s) "Programme" means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
- t) "Prospectus" means any document, whether in print or otherwise, issued for providing fair and transparent information relating to SantGahira Guru Vishwavidyalaya, Sarguja and programmes, to the general public (including to those seeking admission in such Higher Educational Institutions) by the SantGahira Guru Vishwavidyalaya, Sarguja;
- u) "Research Proposal" means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
- v) "University" means a Higher Educational Institution established or incorporated by or under a Central Act, a Provincial Act, or a State Act, and shall include any institution for higher education deemed to be a University under Section 3 of the Act. In this case University refers to the SantGahira Guru Vishwavidyalaya, Sarguja.

(2) Words and expressions used and not defined in these Regulations but defined in Act and not consistent with these Regulations shall have the meanings assigned to them in that Act.

### **3. Eligibility criteria for admission to the Ph.D. Programme.-**

The following are eligible to seek admission to the Ph.D. programme:

(1) Candidates who have completed:

i. A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time. The eligibility marks of 55% or 75% (or an equivalent grade in a point scale wherever the grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

(2) Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.

#### **4. Duration of the Programme.-**

(1) Ph.D. Programme shall be for a minimum duration of three (3) years, including course work, and a maximum duration of six (6) years from the date of admission/registration to the Ph.D. programme.

(2) A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Ordinance of the Sant Gahira Guru Vishwavidyalaya, Sarguja; provided, however, that the total period for completion of a Ph.D. programme should not

exceed eight (8) years from the date of admission in the Ph.D. programme. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

(3) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

## **5. Procedure for admission/registration. –**

(1) The admission shall be based on the criteria notified by the institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the State Government from time to time.

(2) Admission to the Ph.D. programme shall be made using the following methods:

i. SantGahira Guru Vishwavidyalaya, Sarguja may admit students who qualify for fellowship/scholarship in UGC-NET/UGC- CSIR NET/SET/GATE/GPAT/CEED and similar National level tests based on an interview, Teacher Fellowship holder and University/ College teachers holding a regular position (regular appointment) and having completed two years of service as a teacher in a Department or affiliated Colleges of the Vishwavidyalaya and Scientists of any recognized National (for example, DST, CSIR-funded etc.) and International Institutions (for example, WHO-funded etc.) having acquired two years of experience.

And/or

ii. SantGahira Guru Vishwavidyalaya, Sarguja may admit students through an Entrance Test conducted by the University. The Entrance Test syllabus shall consist of 50% of research methodology and 50% shall be subjectspecific. The candidate/s will be admitted to the Ph.D. program through an entrance test to be conducted once in a year, preferably in the month of September to October by the Vishwavidyalaya. The modalities of the test shall be decided by the Vishwavidyalaya. Candidates provisionally admitted to the program through an entrance test will be awarded with the Vishwavidyalaya Fellowships depending upon their availability.

iii. Students who have secured 50 % marks in the entrance test are eligible to be called for the interview.

iv. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.

v. SantGahira Guru Vishwavidyalaya, Sarguja may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.

vi. Provided that for the selection of candidates based on the entrance test conducted by the SantGahira Guru Vishwavidyalaya, Sarguja, a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.

(3) SantGahira Guru Vishwavidyalaya, Sarguja and Colleges which are eligible to conduct Ph.D. programmes, shall:

i. Notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates;

ii. Adhere to the National/State-level reservation policy, as applicable.

(4) The SantGahira Guru Vishwavidyalaya, Sarguja shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

(5) An eligible candidate (refer Clause 3) must apply for registration for the Ph.D. degree of his/her subject on the prescribed form obtainable on payment of the prescribed fee. The application shall be considered by the DRC of the concerned Schools/ Departments/ Affiliated Colleges.

The DRC will allocate the Supervisor, with the mutual consent of the candidate and the guide, for an eligible candidate depending on the number of students per faculty member, the available specialization among the faculty supervisors and the research interest of the student as indicated during the interview by the student.

All eligible candidates except in 9 (4,5) shall be required to undertake course work for a minimum period of one semester. The course work must include Research Methodology which may consist of quantitative methods and computer applications. The course content shall be designed by the Board of Studies (BoS) of the concerned subject. The course work may also involve reviewing of published research in the relevant field.

On the recommendation of the Supervisor, the course work may be carried out by the candidates in sister Schools/ Departments/ Institutes either within or outside the Vishwavidyalaya.

The candidate shall be evaluated at the end of the semester. If the candidate is not able to pass the course with 50% marks, the candidate shall be allowed to reappear in the examinations within the next 12 months. The respective DRC will conduct the evaluation at the institutional/ departmental level.

While granting provisional admission to candidates to Ph.D. programme, the DRC will pay due attention to the State reservation policy.

The candidates shall have to pay fees as decided by the University from time to time.

Provided that the teachers of the Vishwavidyalaya and teachers under the U.G.C. Teacher Fellowship Schemes or any other scheme will not be required to pay the fees, except the registration fee and six-monthly progress report fee. The teachers of the other Universities shall be required to pay the Library and/or Laboratory Caution money.

**6. Allocation of Research Supervisor.-** Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

(1) Permanent faculty members working as Professor/Associate Professor of the SantGahira Guru Vishwavidyalaya, Sarguja with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in SantGahira Guru Vishwavidyalaya, Sarguja with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its affiliated Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as co-supervisors. Ph.D. awarded by the SantGahira Guru Vishwavidyalaya, Sarguja under the supervision of a faculty member who is not an employee of the university or its affiliated Post-graduate Colleges/institutes would be in violation of these Regulations. For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by SantGahira Guru Vishwavidyalaya, Sarguja, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements. Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the SantGahira Guru Vishwavidyalaya, Sarguja may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing. Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority. Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

(2) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.

(3) An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.

(4) In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the SantGahira Guru Vishwavidyalaya, Sarguja/Supervisor by any funding agency. Such scholar shall, however, give due credit to the SantGahira Guru Vishwavidyalaya, Sarguja and the supervisor for the part of research already undertaken.

(5) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

**7. Admission of International students in Ph.D. programme.-**

- (1) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 6.3 above.
- (2) The SantGahira Guru Vishwavidyalaya, Sarguja may decide its own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

**8.** At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number prescribed in clause 6.3 and clause 7.1.

**9. Course Work.-** Credit requirements, number, duration, syllabus, minimum standards for completion, etc.

(1) The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No.F.1-1/2018(Journal/CARE) in 2019 and a research methodology course. The Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.

(2) All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education /pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

(3) A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.

(4) Candidate possessing M.Phil. degree from Sarguja Vishwavidyalaya or other University shall be exempted from the course work, provided that his/her admission to the M.Phil. degree has been through entrance examination.

(5) Candidate possessing M.Phil. degree from another recognized University shall be exempted from course work if 'Research Methodology' has been a component of his/her M.Phil. degree.

### **10. Research Degree Committee (RDC):**

The candidate shall be eligible to submit a synopsis (as per **Appendix-1**) of his/her proposed research work, after successfully completing the course work, along with the title of the thesis (in six copies) duly forwarded by the Chairman of the relevant DRC. He/She shall be required to make an oral presentation of the proposed work before the Research Degree Committee consisting of the following members:

- (i) Kulapati or his/her nominee
- (ii) Dean of the Faculty
- (iii) Head of the University Teaching Department
- (iv) Chairman, Board of Studies in the subject

- (iv) One external subject expert of the rank of a University Professor/ Director of recognized Institutes to be appointed by the Kulapati, out of a panel of 5 experts given by the Chairman of the Board of Studies concerned. The term of the subject expert shall be coterminous with the tenure of the Board of Studies.

External expert and two other members shall form the quorum.

**Note:** 1. The Supervisor/ Co-supervisor are entitled to be present during the oral presentation of his/her candidate.

2. No. TA and D.A. shall be payable to the candidate and the Supervisor/Co-supervisor for attending the Research Degree Committee meeting.

The meeting of the Research Degree Committee shall be held in the Vishwavidyalaya office twice a year preferably in June and November. The committee shall confirm a list of approved Supervisor/Co-Supervisor along with their specializations, prepared by the DRC. This list shall be available with the Registrar/ Academic section of the University. The committee shall formally recommend the registration of the candidate for the Ph. D. degree. On approval by the RDC the candidate shall be registered and enrolled as a student from the date of his/her application. He/She will also be required to pay regular tuition, library and laboratory fees (six-monthly) during his/her research tenure. The RDC has the right to suggest revision/alterations (if required) in the synopsis forwarded by the DRC.

Provided that if the RDC does not recommend a candidate for registration to the Ph.D. degree, the caution money deposited by the candidate shall be refunded.

A candidate shall ordinarily be permitted to work for the Ph.D. degree in the subject in which he/she has obtained his/her post-graduate degree. Provided that research work leading to the Ph.D. degree may be encouraged in allied subjects of the interdisciplinary nature of the same faculty or of allied faculties. In such cases the candidate may also be permitted to work under one or two Co-supervisors, along with the Supervisor of the Vishwavidyalaya.

A candidate shall pursue his/her research at the institution from where his/her application form has been forwarded.

Provided that a candidate permitted to work in a research establishment\* recognized by the Vishwavidyalaya shall be required to take at least one co-supervisor along with the supervisor; one of them should be the teacher of the Vishwavidyalaya and the other a Teacher/ Scientist/ Research Officer/ Director of the Institution where the candidate is actually working.

\*Includes Institutions and Laboratories run and sponsored by the Union /State Governments or its agencies; and Foreign University/Institution of repute.

Provided also a candidate may be permitted to carry out his/her research/ practical work in a Research Institution/ Research Laboratory/ Laboratory of a University recognized by the Vishwavidyalaya for the purpose under the supervision of a Scientist/ Director/ Teacher of the Institution who may or may not be the Co-supervisor of the candidate.

## **11. Research Advisory Committee and its Functions.-**



(1) There shall be a Research Advisory Committee or an equivalent body as defined in the Statutes/Ordinances of the SantGahira Guru Vishwavidyalaya, Sarguja concerned for each Ph.D. scholar. The Research Supervisor of the Ph.D. scholar concerned shall be the Convener of this committee, and this committee shall have the following responsibilities:

- i. To review the research proposal and finalize the topic of research.
- ii. To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- iii. To periodically review and assist in the progress of the research work of the Ph.D. scholar.

(2) Each semester, a Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the SantGahira Guru Vishwavidyalaya, Sarguja. A copy of such recommendations shall also be provided to the Ph.D. scholar.

(3) In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

## **12. Change of Supervisor**

The candidate may be allowed to change the Supervisor by the Kulapati, on the recommendation of the DRC or committee constituted by the Kulapati for this purpose under special circumstances. No major change in the topic of research will be permitted due to change in supervisor.

## **13. Six-monthly Progress Report**

The candidate shall submit every six months a record of attendance, receipt of fees paid and progress report of the work through his/her supervisor as per Appendix-2. If the progress of work is not found satisfactory in two successive reports or no reports are received for a period of one year and the candidate fails to deposit the prescribed fees, the Kulapati may order the removal of the name of the scholar from the list of those registered for the Ph.D. degree.

## **14. Submission of Summary of Thesis**

(1) Prior to submission of the thesis, the candidate shall make a pre-Ph.D. presentation before the DRC that may be open to all faculty members and research students, for getting feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the Supervisor.

(2) The candidate shall submit six copies of the Summary of the thesis together with at least one (1) research paper in refereed journal and make two paper presentations at

conferences/seminars before the submission of the thesis for adjudication, and produce evidence for the same in the form of presentation of certificates and/or reprints through his/her Supervisor to the Registrar about two months prior to the anticipated date of submission of thesis.

(3) The supervisor shall submit a panel of at least six names of examiners actively engaged in the concerned area of research not below the rank of Reader or College Professor in a sealed cover to the Registrar. Provided that the panel of examiners shall be obtained from the Chairman, Board of Studies of the subject concerned, in case the candidate is related to the Supervisor.

(4) On the receipt of the panel of examiners from the Supervisor and Summary from the candidate, the Registrar shall call a meeting of the Examination Committee of the subject constituted U/S 44 of the Adhinyam. The Committee considering the panel submitted by the Supervisor/ Chairman, Board of Studies, will prepare a panel of six names to act as examiners.

(5) THE TERM RELATIONS SHALL INCLUDE: Father, Mother, Wife, Husband, Daughter, Son, Grand Son, Grand Daughter, Brother, Sister, Nephew, Niece, Grand Nephew/Niece, Uncle, Aunt, Son-in-law, Sister-in-law, Father-in-law, First cousin-in-law etc.

## **15. Submission of the Thesis.-**

The candidate shall submit three bound copies of his/her thesis along with the following:

- i. The candidate must append reprint of the published paper or acceptance letter and certificate of presentation of the papers in Symposium/Conference/Seminar.
- ii. The thesis must be accompanied by a declaration from the candidate that the thesis embodies his/her own work and he/she has worked under the Supervisor at the approved place of work for the required period as per provisions of Clause 10 of Ordinance (Appendix-3).
- iii. The certificate from the Supervisor together with Co-supervisors, if any, that the thesis fulfills the requirements of the Ordinance relating to the Ph.D. degree of the University (Appendix-4).
- iv. The candidate shall also remit with the thesis the prescribed examination fee.
- v. Submission of Electronic copy of the Thesis/ Dissertation (ETD) is mandatory at the time of submission of the Ph.D. thesis (Appendix-5). The University shall submit a soft copy to the UGC within a period of 30 days after the award of the degree, for hosting the same in INFLIBNET, accessible to all Institutions /Universities.
- vi. The candidate will submit a declaration that the thesis is free from plagiarism or produce a certificate from the institutional plagiarism cell to that effect (Appendix-6).

## **16. Evaluation and Assessment Methods, minimum standards/ credits for award of the degree, etc.-**

- (1) Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause (3) of Regulation 9 above, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
- (2) Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the Research Advisory Committee of the Higher Educational Institution concerned, which shall also be open to all faculty members and other research scholars/students.
- (3) The SantGahira Guru Vishwavidyalaya, Sarguja will have a mechanism using well-developed software applications to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree.
- (4) A Ph.D. scholar shall submit the thesis for evaluation, along with
  - (a) An undertaking from the Ph.D. scholar that there is no plagiarism and
  - (b) A certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
- (5) The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are experts in the field and not in employment of the Higher Educational Institution concerned. Such examiner(s) should be academics with a good record of scholarly publications in the field. Wherever possible, one of the external examiners should be chosen from outside India. The viva-voce board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The viva-voce shall be open to the members of the Research Advisory Committee/faculty members/research scholars, and students.
- (6) The examiners shall categorically recommend in the prescribed proforma acceptance, revision or rejection of the thesis together with detailed comments on the thesis. The examiner must also give a list of the questions he/she wishes to be asked in the viva-voce examination.
- (7) The examiners may seek clarification of the subject matter of the thesis from the Supervisor. The provision will be incorporated in the letter to be sent to the examiners while sending the thesis. The Kulapati can recall the thesis from an examiner who fails to send the report within three months of the date of dispatch of the thesis and appoint another examiner.
- (8) The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the Vishwavidyalaya shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.
- (9) In case both the examiners reject the thesis or one of them rejects the thesis and the other recommends a revision the thesis shall be rejected.

- i. If both the examiners recommend for the revision of the thesis the candidate shall be called upon to revise the thesis in the light of the observations of the examiners.
- ii. If one examiner approves the thesis and the other rejects it or recommends for revision the thesis shall be sent to the third examiner drawn from the panel of the examiners by the Kulapati with both the reports of earlier examiners. The names of earlier two examiners shall not be disclosed to the third examiner. The third examiner shall be asked to give his/her opinion on the points, raised by the earlier examiners while rejecting or recommending revision of the thesis. The opinion of the third examiner shall be final.
- iii. In case both the original examiners accept the thesis for the award of the Ph.D. Degree or in the event of it being referred to the third examiner, the third examiner accepts the thesis for the award of the Ph.D. Degree, the candidate shall be called upon to appear at the viva-voce examination before a board of examiners comprising of the Supervisor, Co-Supervisor (if any) and one of the two examiners selected by the Kulapati who have accepted the thesis for the award of the Ph.D. Degree. Provided that the Kulapati shall appoint the Chairman, DRC or Chairman, Board of Studies of the subject concerned to act as viva-voce examiner, in place of the Supervisor in case the candidate is related Clause 14(5) to the Supervisor.
- iv. The Supervisor/ Chairman, DRC, Chairman, Board of Studies of the subject concerned, as the case may be, shall be communicated the name of the external examiner appointed by the Kulapati to conduct the viva-voce examination. The date fixed for the viva-voce be informed to the candidate and the Registrar.  
Provided that in special circumstances Kulapati may appoint alternate viva-voce examiners if both the examiners are not in a position to conduct the viva-voce examination.
- v. The viva-voce examination shall be conducted at the University Teaching Department/School of Studies in the subject or at any place fixed by the Vishwavidyalaya for the purpose. The date, time and place of viva-voce examination shall be notified by the Registrar on the University Notice Board about a week in advance. At the time of viva-voce examination the board of examiners shall be provided with the reports of the examiners which shall be returned along with the report of viva-voce examination to the Registrar.
- vi. The candidate shall present the work embodied in the thesis before the board of examiners, members of faculty, research scholars and other interested persons. After the presentation of the research work the Board shall ask questions together with those questions which have been given along with examiners' reports. Others in the audience can also ask questions and the candidate shall reply only those questions which are permitted by the external examiner.
- vii. In case the recommendation of the viva-voce examiner differs from that of the thesis examiner or there is a difference of opinion between the viva-voce examiners, the candidate shall re-appear at a second viva-voce examination within six months. If the candidate fails to satisfy the viva-voce examiners a second time, his/her thesis shall be finally rejected. Such candidates would be required to pay the prescribed additional fee for the second viva-voce. The external examiner for second viva-voce shall be appointed by the Kulapati.

(10) The Vishwavidyalaya shall complete the entire process of evaluating a Ph.D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis.

(11) If the examiners recommend that the candidate be asked to revise/improve his/her thesis, the Kulapati shall permit the candidate to resubmit his/her thesis not earlier than six months and not later than eighteen months, the period being counted from the date of the issue in order to the candidate. The candidate shall be provided the examiner (s) reports without disclosing the names of the examiners to enable him/her to improve the thesis. All the copies of the thesis shall also be returned to the candidate.

(12) In case the candidate is allowed to resubmit the thesis he/she will have to pay the prescribed fee afresh at the time of resubmission, but it shall not be necessary for him to reproduce any certificate of further attendance at the Institution at which he/she carried out the work.

(13) The resubmitted three copies of the thesis must mark clear mention that it is a revised version.

(14) The thesis shall be got examined as far as possible by the examiners who finally recommended for the revision.

(15) In case both the examiners of the revised thesis accepts the thesis for the award then the candidate shall be awarded with the Ph.D. Degree on successful viva-voce examination as per provisions of clause 9 of the Ordinance.

(16) In case a candidate is asked to revise the thesis under sub-clause (9) i. of this section and one of the examiners recommends again for revision and the other accepts the thesis for award then the thesis shall be sent to the third examiner. If the third examiner rejects or recommends for revision the thesis shall stand rejected. If, he accepts for the award then the candidate shall be awarded with the Ph.D. Degree on successful viva-voce examination as per provision of clause 9 of the Ordinance.

If the revised thesis is required to be revised a second time it shall automatically stand rejected.

(17) Maximum number of research candidates that can be registered and allowed to pursue research work under a Supervisor at any particular time shall be SIX only. The submission of a thesis shall create a vacancy for the admission of a new candidate for the Ph.D. degree under the concerned Supervisor.

Provided that the candidates registered with the Co-supervisor shall not be counted for the number of candidates under a Supervisor.

(18) No research scholar shall join any other course, study or appear at any other examination conducted by any Vishwavidyalaya leading to a degree (except Certificate/Diploma course of Languages, Research Methodology, Statistics, Computer courses).

(19) The candidate, if so desires, is allowed to publish his/her thesis. However, such publication shall state on the title page itself that this was a thesis approved for the award of the Ph.D. Degree of the University.

(20) The University agrees that a Ph.D. student is the owner of the copyright in his/her thesis.

(21) After the viva-voce, the recommendation of the examiners shall be reported to the Executive Council for the award of Ph.D. Degree to the candidate. One copy of the thesis will be kept in the University Library and another copy will be kept in the Departmental Library of the Institution where the research work was carried out; the third will be returned to the Supervisor.

(22) After the declaration of the result the successful candidates may be provided with the copies of reports of examiners who recommended for the award of the degree on payment of the prescribed fee. The reports will not disclose the identity of the examiners.

(23) The candidates who have been already registered for Ph.D. Degree under the repealed Ordinance will continue to be governed by the Provisions of the repealed Ordinance.

The candidates who have applied for registration but have not been registered till this Ordinance comes into force shall be governed by the provisions of this Ordinance.

(24) On detection of any irregularity, the University shall take suitable steps to withdraw the degree as per provisions of the Vishwavidyalaya Adhiniyam.

## **17. Academic, research, administrative, and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programmes.-**

(1) Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post-graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.

(2) Colleges and research institutions established by the central government or a State government whose degrees are awarded by SantGahira Guru Vishwavidyalaya, Sarguja shall offer Ph.D. programmes provided they have:

i. At least two faculty members in a college or two Ph.D.qualified scientists in the research institution.

ii. Adequate infrastructure, administrative support, research facilities and library resources as specified by the SantGahira Guru Vishwavidyalaya, Sarguja.

## **18. Ph.D. through Part-time Mode-**

(1) Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.

(2) The SantGahira Guru Vishwavidyalaya, Sarguja shall obtain a "No Objection Certificate" through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:

i. The candidate is permitted to pursue studies on a part-time basis.

ii. His/her official duties permit him/her to devote sufficient time for research.

iii. If required, he/she will be relieved from the duty to complete the course work.

(3) Notwithstanding anything contained in these Regulations or any other law, for the time being in force, no Higher Educational Institution or research institution of the Central government or a State Government shall conduct Ph.D. programmes through distance and/or online mode.

### **19. Grant of M.Phil. Degree.-**

The Vishwavidyalaya shall not offer the M.Phil.(Master of Philosophy) programme.

### **20. Issuing a Provisional certificate.-**

Prior to the actual award of the Ph.D. degree, the SantGahira Guru Vishwavidyalaya, Sarguja shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations.

### **21. Award of Ph.D. degrees prior to Notification of these Regulations.-**

Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016. Nothing in these Regulations shall impact the M.Phil. Degree programmes commencing prior to the enactment of these Regulations.

### **22. Depository with INFLIBNET.-**

Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Sant Gahira Guru Vishwavidyalaya, Sarguja will submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.